**Paper Review**

# **What Makes for Good Tokenizers in Vision Transformer?**

# **Shengju Qian, et al. The Chinese University of Hong Kong & Amazon AI TPAMI 2022**

**Industrial AI Research, POSCO DX Vision Lab Susang Kim**

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#### **1.Introduction - Vision Transformer**



While being **the stemming building block** of transformers, what makes for a good tokenizer has not been well understood in computer vision. The influence from different tokenizer designs still lacks principled discussion and analysis.

Alexey, et al. An image is worth 16x16 words: Transformers for image recognition at scale. ICLR 2021.

#### **1.Introduction - Convolution vs Self-Attention (Module)**

**It is difficult for ConvNets to capture long-term dependencies, while self-attention layers are global.**



S Tuli et al., "Are Convolutional Neural Networks or Transformers more like human vision?," CogSci, 2021.

#### **1.Introduction - Feature visualization of local structure**



**Green boxes highlight learned low-level structure** features such as edges and lines; **red boxes highlight invalid feature maps with zero or too large values in ViT.**

YUAN, Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021.

#### **1.Introduction - "patchifying" in Vision Transformer**



The input image is sampled by four **different kernels** with same stride  $4 \times 4$  in CEL layer.

The tokens Ti are restructurized as an image Ii after **transformation and reshaping**

TokenLearner **learns to spatially attend over a subset of tensor pixels** (i.e., from intermediate spatial representations), and generates a set of token vectors adaptive

to the input.



WANG, W., et al. CrossFormer: A versatile vision transformer hinging on cross-scale attention. arXiv 2021. YUAN, Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021. Michael S., et al. Tokenlearner: What can 8 learned tokens do for images and videos?. NeurIPS 2021.

#### **1.Introduction - Mutual Information**

Mutual information initially measures dependencies between random variables. Given A and B, I(A; B) estimates the **"amount of information"** learned from B about the other variable A and vice versa.

$$
\mathbf{A} \in \mathcal{R}^{h \times w \times 3} \qquad H = -\sum_{i=1}^{N} p_i \log(p_i) \qquad I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B})
$$
\n
$$
I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) = H(\mathbf{B}) - H(\mathbf{B}|\mathbf{A}) \qquad I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B})
$$
\n
$$
I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) = H(\mathbf{B}) - H(\mathbf{B}|\mathbf{A}) \qquad H(\mathbf{A}) = \mathbf{A}^s \text{ marginal entropy}
$$
\n
$$
I(X; Y) = \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log \frac{p_{(X,Y)}(x, y)}{p_X(x)p_Y(y)} = D_{KL}(P(X, Y)||P(X)P(Y)) \qquad H(\mathbf{A}|\mathbf{B}) = \mathbf{A}^s \text{ conditional entropy}
$$
\n
$$
= \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log \frac{p_{(X,Y)}(x, y)}{p_X(x)} - \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log p_Y(y)
$$
\n
$$
= \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_X(x)p_Y|x=x(y) \log p_Y|x=x(y) - \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log p_Y(y)
$$
\n
$$
= -\sum_{x \in \mathcal{X}} p_X(x) \left( \sum_{y \in \mathcal{Y}} p_{Y|X=x}(y) \log p_Y(x) - \sum_{y \in \mathcal{Y}} p_{Y}(y) \log p_Y(y) \right) \qquad H(X|Y)
$$
\n
$$
= -H(Y | X) + H(Y)
$$
\n
$$
= H(Y) - H(Y | X).
$$
\n
$$
\text{M1} = \text{Independent} = 0 = \text{entropy}
$$

[https://en.wikipedia.org/wiki/Mutual\\_information](https://en.wikipedia.org/wiki/Mutual_information)

<https://www.microsoft.com/en-us/research/blog/deep-infomax-learning-good-representations-through-mutual-information-maximization/>

#### **1.Introduction - Mutual Information Maximization**

Deep InfoMax (DIM) simultaneously **estimates and maximizes** the mutual information between **input data and learned high-level representations.**



Multiple

Global Feature

Vectors

Local Feature

Vectors

HJELM, R. Devon, et al. Learning deep representations by mutual information estimation and maximization. ICLR 2019. <https://www.microsoft.com/en-us/research/blog/deep-infomax-learning-good-representations-through-mutual-information-maximization/>

#### **2.Motivation - Structural Designs for Vision Tokenizer**



**a) Intra-token refinement:** stride-p, p × p convolution, naive "patchifying" fails to capture rich context inside the tokens **(multi-scale embeddings, models sub-token)**

**b) Locality: Overlapping and uneven token embeddings** have been tailored.

**c) Inter-token refinement:** Modeling intertoken relationship intuitively helps tokenizers encode better features. This refinement suggests performing **global context modeling inside the tokenizers**.

#### **2.Motivation - Performance with different tokenizers**



Performance on various vision tasks with different tokenizers. "Intra", "Local", and "Inter" respectively refer to applying the intra-token, locality, and inter-token refinement strategies. "Frozen" refers to the frozen randomly-initialized tokenization in MoCov3.

1) Empirical correlations exist between **increasing token diversity and better results. (more checking)**

- 2) Maximizing **conditional entropy doesn't guarantee** better performance. H(A|B) = A's conditional entropy - Randomly-initialized frozen tokenizer maximizes conditional entropy and token diversity, it gives inferior performance
- 3) Tokenizers might **influence optimization** across tasks.(better linear performance with frozen token.)
- 4) The goal of tokenizer is to maintain a **trade-off**

between **feature expressiveness and information accessibility.**

Motivated by these findings, we expect our tokenizer to **simultaneously maintain the information accessibility and feature quality**. Therefore, we further study versatile and unexplored design strategies for vision tokenizers, through normalization and optimization.

## **2.Motivation - Normalization in Vision Tokenizer**

Although the importance of normalization has been acknowledged in transformer for both language and vision, **it has rarely been explored inside the tokenizer.** 

Considering the semantic variations between images, patch tokens tend to encounter semantic variation



$$
\mathbf{x} \in \mathbb{R}^{H \times W \times C} \quad \mathbf{x}_p \in \mathbb{R}^{N \times (P^2 \cdot C)}
$$

$$
N = HW/P^2
$$

The addition of **batch normalization (BN) to the patchify stem worsens its accuracy.** 

According to previous analysis normalization layers tend to **"wash away" texture and semantic information**. Such "filtered" effect

Fig. 3. Example about the large semantic gap across regions inevitably reduces token diversity and and tokens. This difference leads to distinctive statistics. Using fixed possibly cuts off necessary diversity in normalizing constants would inevitably "filter" the original information transformer. and reduces the token diversity.

$$
X_{BN} = \frac{X - \mu_{B,H,W}}{\sigma_{B,H,W}} * \gamma_{BN} + \beta_{BN},
$$

#### **3.Method - "wash away" semantic information (SPADE)**



Figure 3: Comparing results given uniform segmentation maps: while the SPADE generator produces plausible textures, the pix2pixHD generator  $[48]$  produces two identical outputs due to the loss of the semantic information after the normalization layer.

$$
\mu_c^i = \frac{1}{NH^iW^i} \sum_{n,y,x} h_{n,c,y,x}^i
$$

$$
\sigma_c^i = \sqrt{\frac{1}{NH^iW^i} \sum_{n,y,x} \left( (h_{n,c,y,x}^i)^2 - (\mu_c^i)^2 \right)}.
$$

 $\overline{\mathbf{1}}$ 



$$
\gamma_{c,y,x}^i({\mathbf m})\frac{h_{n,c,y,x}^i-\mu_c^i}{\sigma_c^i}+\beta_{c,y,x}^i({\mathbf m})
$$

**m to the scaling and bias values** at the site (c, y, x) in the i-th activation map.

The spatially-adaptive normalization, which utilizes the input semantic layout while performing the affine transformation in the normalization layers.

PARK, Taesung, et al. Semantic image synthesis with spatially-adaptive normalization. CVPR 2019.

#### **3.Method - Modulation Across Tokens (MoTo)**

To modulate the diverse semantics in input using regional statistics. This strategy not only formulates **feature distributions**, but also provides the tokenizer with more **plausible semantic content.**



MoTo incorporates global context to vision tokenizer using normalization. default  $n = 8$ 

#### **3.Method - On Various Transformer Architectures.**



Ze, et al. Swin transformer: Hierarchical vision transformer using shifted windows. ICCV 2021. Wenhai, et al. Pyramid vision transformer: A versatile backbone for dense prediction without convolutions. ICCV 2021. Hugo, et al. Training data-efficient image transformers & distillation through attention. ICML 2021. Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021.

#### **3.Method - Performance of image recognition on ImageNet**

To further validate that MoTo is versatile with different tokenizers and transformer architectures, we integrate the proposed module to several state-of-the-art methods



the consistent improvements are made across different transformer architectures.

#### **3.Method - Complexity Analysis**

TARI F 3

Inference wall time (ms) with different input scales. Features are fed into the same GPU with a batch size of 1 and channel number of 16. OOM denotes out-of-memory. Note that the definition of self-attention here pixel refers to the pixel-wise self-attention in tokenizer.



MoTo incorporates **global context modeling to vision tokenizer using normalization.** MoTo provides a **computational-friendly** choice to perform intertoken modulation.  $\mathcal{O}(NCHW)$ 

The actual computation of injecting **pixel-wise regional information with self-attention scales quadratically**, which is unfeasible for processing high-resolution images.  $\mathcal{O}(C(HW)^2)$ 

#### **3.Method - Visualization of semantic layout**



$$
\mathbf{L}_{i,j} \, \in \, \mathcal{R}^{1 \times 1 \times k}
$$

we colorize each location (i, j) using the index with the highest probability.

Visualizations of the self-learned semantic layout. Both image (a) and (b) are testing images. Each color shown in the layout denotes a semantic entity in the soft layout L.

the semantic entities highlight the difference between foreground, background, and instances. Notably, the entities represent some details on cat face.

Normalizing these feature points with semantic grouping improves the feature quality of tokens.

$$
\text{MoTo}(\mathbf{X}) = \sum_{i=1}^{n} (\frac{\mathbf{X} - \mu(\mathbf{X} \odot \mathbf{L}_i)}{\sigma(\mathbf{X} \odot \mathbf{L}_i) + \epsilon} \times \beta_i + \alpha_i) \odot \mathbf{L}_i
$$

#### **3.Method - Ablations about MoTo**



choose  $n = 8$  for most experiments. considering the tradeoff between effectiveness and complexity.

$$
\mathbf{L} \in \mathcal{R}^{h \times w \times n}
$$

soft semantic layout

Then  $Z$  is normalized using softmax to generate the soft layout at  $k$ -th semantic entity

$$
\mathbf{L}_k = \frac{\exp(\tau \mathbf{Z}_k)}{\sum_{i=1}^n \exp(\tau \mathbf{Z}_i)},
$$
(4)

where  $k \in [0, n-1]$  and  $\tau$  is the temperature coefficient set to 0.1. Each  $L_k \in \mathcal{R}^{h \times w}$  indicates the probability of the spatial pixels belonging to entity  $k$ .

we implement **argmax** on the activation maps spatially and further obtain a class map to perform **hard partition**.

## **3.Method - Experimental Analysis with MoTo**

#### TABLE 5

Ablation study on absorbing MoTo into transformer blocks. The baseline architecture uses DeiT-S. We adopt a MoTo layer with 8 semantic entities and ensemble it into transformer blocks. The placement denotes the layer number of transformer block that adopts MoTo.



We further conduct a pilot study to ensemble MoTo at **the end of each transformer layer**.



#### TABLE 6

Comparison of different normalizations in tokenizer. The baseline architecture uses DeiT-S. Each row denotes result trained with different normalization strategy. Top-1 Accuracy denotes the validation accuracy on ImageNet.

#### **Comparison with different normalizations.**

As shown in Table 6, **both BN and LN slightly harm the model's performance**. As analyzed, such normalization methods bring "filtered" effect on tokens' features.



#### **3.Method - TokenProp Objective**

The core idea of TokenProp is to provide optimization objectives for vision tokenizer, which lead to **better token representations** by maintaining the trade-off between feature fineness and information accessibility.

$$
I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) \ge H(\mathbf{A}) - \mathbb{R}(\mathbf{A}|\mathbf{B})
$$

 $\arg \max I(\mathbf{A}; \mathbf{B}) = \arg \max - \mathbb{R}(\mathbf{A}|\mathbf{B})$ dependent $= \arg \max \mathbb{E}_{q(\mathbf{A}, \mathbf{B})}[\log q(\mathbf{A}|\mathbf{B})]$ 

we estimate the parameters of q(A|B) using a decoder network G  $θ$ . Ideally, zero information loss through the tokenization proc retains all information including the useful one.

$$
\underset{\phi, \omega, \theta}{\text{minimize}} \mathcal{L}(F_{\omega}(F_{\phi}(\mathbf{x})); \mathbf{y}) + \lambda \mathcal{L}_{rec}(G_{\theta}(F_{\phi}(\mathbf{x})); \mathbf{x})
$$

 $x : input, y : label, φ, ω, θ$ : parameters of respective modules







## **4.Experiments - ImageNet validation with TokenProp**

TokenProp serves as a regularization term that provides the encoded features from tokenizers with more diversity.





TokenProp enables the adaptability of the model towards SGD, which significantly reduces the performance drop.

#### **4.Experiments - MoTo and TokenProp / Data inefficiency**

We can see that the models could be further boosted, suggesting the compatibility of structural and objective designs.



We follow the original training hyper-parameters in, while only leverage a limited portion of ImageNet-1k training set and 100 epochs for training.



#### **4.Experiments - Downstream Tasks**



Downstream performance of semantic segmentation on ADE20K dataset.

#### **4.Experiments - Downstream Tasks**

![](_page_23_Picture_10.jpeg)

Downstream performance of object detection and instance segmentation on COCO 2017.

#### **5. Conclusion and Future Work**

#### **[Conclusion]**

We demonstrate the **important role tokenizer plays in vision transformers**.

Based on the "trade-off" perspective that formulates prominent structural designs, we propose to incorporate **better normalization and objective for tokenizers.**

Extensive experimental results manifest their **effectiveness across different transformer structures**.

Our findings further indicate that **proper generative supervisory signals help improve** discriminative performance.

**[Future Work] On self-supervised learning** with MAE and BEiT validates the potential of reconstruction objectives.

**Generalizing such generative signals to different tasks** remains an open problem.

# Thanks Any Questions?

You can send mail to Susang Kim([healess1@gmail.com\)](mailto:healess1@gmail.com)