Paper Review

What Makes for Good Tokenizers in Vision Transformer?

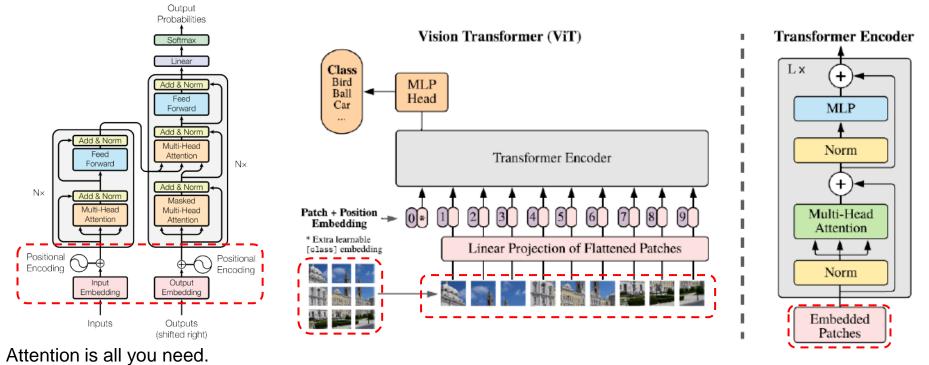
Shengju Qian, et al. The Chinese University of Hong Kong & Amazon Al TPAMI 2022

Industrial AI Research, POSCO DX Vision Lab Susang Kim

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1.Introduction
2.Motivation
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1.Introduction - Vision Transformer



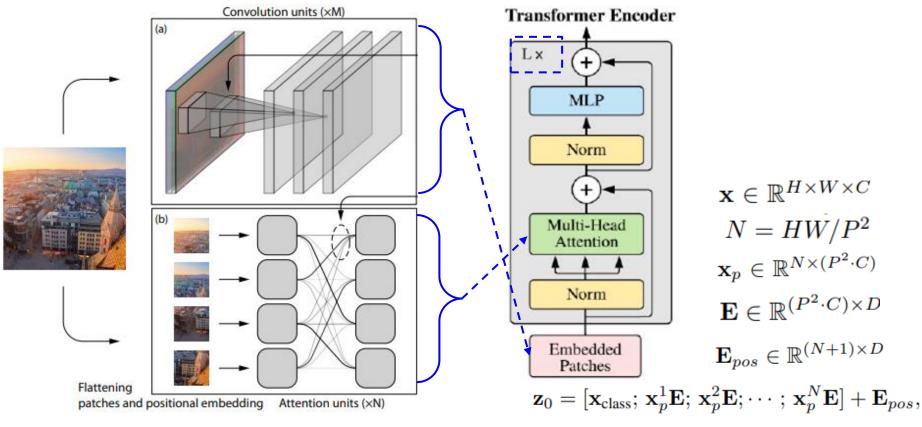
While being the stemming building block of transformers, what makes for a good tokenizer has not been well understood in computer vision.

The influence from different tokenizer designs still lacks principled discussion and analysis.

Alexey, et al. An image is worth 16x16 words: Transformers for image recognition at scale. ICLR 2021.

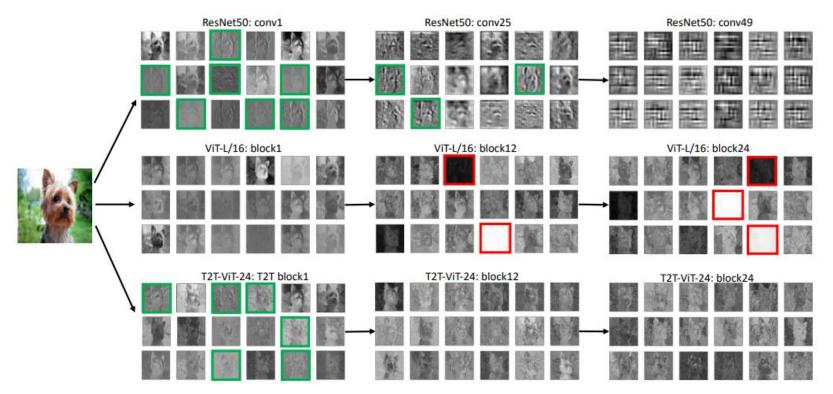
1.Introduction - Convolution vs Self-Attention (Module)

It is difficult for ConvNets to capture long-term dependencies, while self-attention layers are global.



S Tuli et al., "Are Convolutional Neural Networks or Transformers more like human vision?," CogSci, 2021.

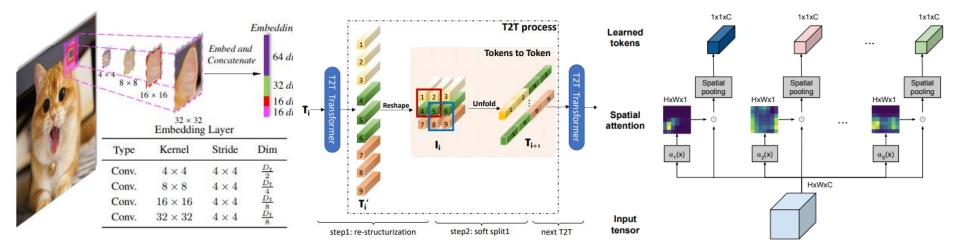
1.Introduction - Feature visualization of local structure



Green boxes highlight learned low-level structure features such as edges and lines; red boxes highlight invalid feature maps with zero or too large values in ViT.

YUAN, Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021.

1.Introduction - "patchifying" in Vision Transformer

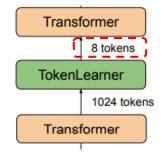


The input image is sampled by four **different kernels** with same stride 4×4 in CEL layer.

The tokens Ti are restructurized as an image li after **transformation and reshaping**

TokenLearner **learns to spatially attend over a subset of tensor pixels** (i.e., from intermediate spatial representations), and generates a set of token vectors adaptive





WANG, W., et al. CrossFormer: A versatile vision transformer hinging on cross-scale attention. arXiv 2021. YUAN, Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021. Michael S., et al. Tokenlearner: What can 8 learned tokens do for images and videos?. NeurIPS 2021.

1.Introduction - Mutual Information

Mutual information initially measures dependencies between random variables. Given A and B, I(A; B) estimates the **"amount of information"** learned from B about the other variable A and vice versa.

$$\begin{split} \mathbf{A} &\in \mathcal{R}^{h \times w \times 3} \\ \mathbf{B} &\in \mathcal{R}^{n_{\text{token}} \times l_{\text{token}}} \\ H &= -\sum_{i=1}^{N} p_i \log(p_i) \\ I(\mathbf{A}; \mathbf{B}) &= H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) = H(\mathbf{B}) - H(\mathbf{B}|\mathbf{A}) \\ I(\mathbf{A}; \mathbf{B}) &= H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) = H(\mathbf{B}) - H(\mathbf{B}|\mathbf{A}) \\ I(\mathbf{A}; \mathbf{B}) &= \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log \frac{p_{(X,Y)}(x, y)}{p_X(x) p_Y(y)} \\ &= \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log \frac{p_{(X,Y)}(x, y)}{p_X(x)} - \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log p_Y(y) \\ &= \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_X(x) p_{Y|X=x}(y) \log p_{Y|X=x}(y) - \sum_{x \in \mathcal{X}, y \in \mathcal{Y}} p_{(X,Y)}(x, y) \log p_Y(y) \\ &= \sum_{x \in \mathcal{X}} p_X(x) \left(\sum_{y \in \mathcal{Y}} p_{Y|X=x}(y) \log p_{Y|X=x}(y) \right) - \sum_{y \in \mathcal{Y}} \left(\sum_{x \in \mathcal{X}} p_{(X,Y)}(x, y) \log p_Y(y) \right) \\ &= -\sum_{x \in \mathcal{X}} p_X(x) H(Y \mid X = x) - \sum_{y \in \mathcal{Y}} p_Y(y) \log p_Y(y) \\ &= -H(Y \mid X) + H(Y) \\ &= H(Y) - H(Y \mid X). \end{split}$$

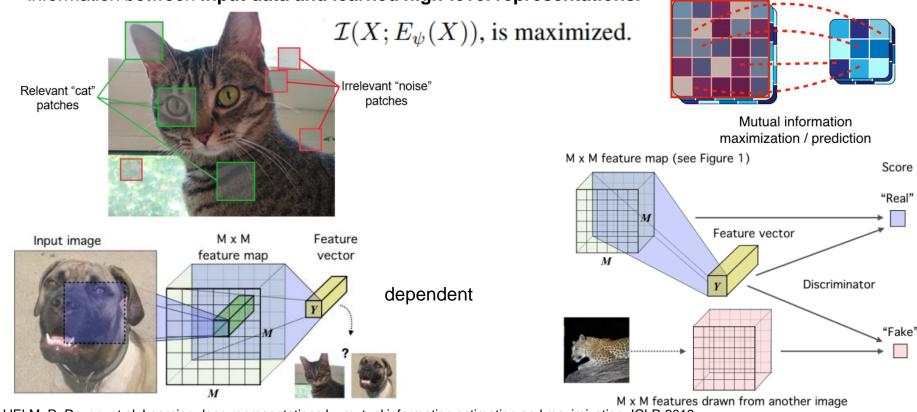
$$HI = Independent = 0 = entropy$$

https://en.wikipedia.org/wiki/Mutual_information

https://www.microsoft.com/en-us/research/blog/deep-infomax-learning-good-representations-through-mutual-information-maximization/

1.Introduction - Mutual Information Maximization

Deep InfoMax (DIM) simultaneously estimates and maximizes the mutual information between input data and learned high-level representations.



Multiple

Global Feature

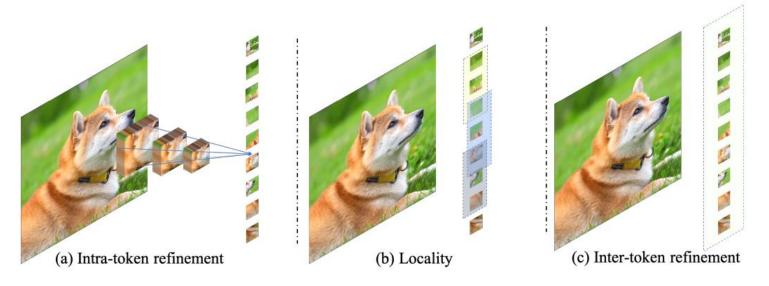
Vectors

Local Feature

Vectors

HJELM, R. Devon, et al. Learning deep representations by mutual information estimation and maximization. ICLR 2019. https://www.microsoft.com/en-us/research/blog/deep-infomax-learning-good-representations-through-mutual-information-maximization/

2. Motivation - Structural Designs for Vision Tokenizer



a) Intra-token refinement: stride-p, p × p convolution, naive "patchifying" fails to capture rich context inside the tokens (multi-scale embeddings, models sub-token)

b) Locality: Overlapping and uneven token embeddings have been tailored.

c) Inter-token refinement: Modeling intertoken relationship intuitively helps tokenizers encode better features. This refinement suggests performing global context modeling inside the tokenizers.

2. Motivation - Performance with different tokenizers

Architecture		Toker	nization		Params	GFLOPs	Classif	fication	Segmentation
Architecture	Intra	Local	Inter	Frozen		GFLOFS	Linear [18]	Supervised	Segmentation
DeiT-S	-	-	-	-	22.1	4.6	68.1	79.8	44.0
DeiT-B	-	-	-	-	86.6	17.6	69.6	81.8	45.2
DeiT-S				√	22.1	4.6	69.0	79.4	42.9
DeiT-S	\checkmark				22.3	4.7	68.4	80.5	44.3
DeiT-S	\checkmark	\checkmark			22.3	5.1	68.5	80.9	44.6
DeiT-S	\checkmark	\checkmark	✓		25.7	6.9	68.7	82.0	45.0

Performance on various vision tasks with different tokenizers. "Intra", "Local", and "Inter" respectively refer to applying the intra-token, locality, and inter-token refinement strategies. "Frozen" refers to the frozen randomly-initialized tokenization in MoCov3.

1) Empirical correlations exist between increasing token diversity and better results. (more checking)

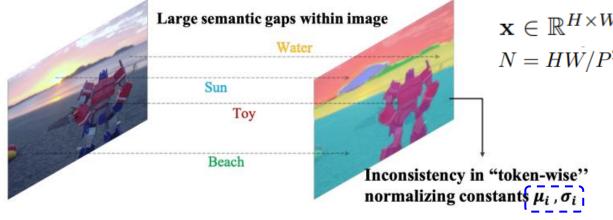
- 2) Maximizing **conditional entropy doesn't guarantee** better performance. H(A|B) = A's conditional entropy - Randomly-initialized frozen tokenizer maximizes conditional entropy and token diversity, it gives inferior performance
- 3) Tokenizers might **influence optimization** across tasks.(better linear performance with frozen token.)
- 4) The goal of tokenizer is to maintain a **trade-off** between **feature expressiveness and information accessibility.**

Motivated by these findings, we expect our tokenizer to **simultaneously maintain the information accessibility and feature quality**. Therefore, we further study versatile and unexplored design strategies for vision tokenizers, through normalization and optimization.

2. Motivation - Normalization in Vision Tokenizer

Although the importance of normalization has been acknowledged in transformer for both language and vision, it has rarely been explored inside the tokenizer.

Considering the semantic variations between images, patch tokens tend to encounter semantic variation



$$\mathbf{x} \in \mathbb{R}^{H \times W \times C} \quad \mathbf{x}_p \in \mathbb{R}^{N \times (P^2 \cdot C)}$$
$$N = HW/P^2$$

The addition of **batch normalization** (BN) to the patchify stem worsens its accuracy.

According to previous analysis normalization layers tend to **"wash away" texture and semantic information**. Such "filtered" effect inevitably reduces token diversity and possibly cuts off necessary diversity in transformer.

Fig. 3. Example about the large semantic gap across regions in and tokens. This difference leads to distinctive statistics. Using fixed p normalizing constants would inevitably "filter" the original information to and reduces the token diversity.

$$X_{BN} = \frac{X - \mu_{B,H,W}}{\sigma_{B,H,W}} * \gamma_{BN} + \beta_{BN},$$

3.Method - "wash away" semantic information (SPADE)

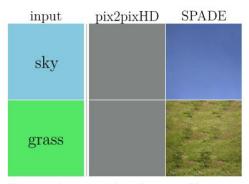
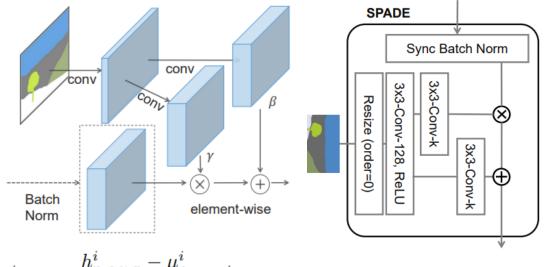


Figure 3: Comparing results given uniform segmentation maps: while the SPADE generator produces plausible textures, the pix2pixHD generator [48] produces two identical outputs due to the loss of the semantic information after the normalization layer.

$$\mu_{c}^{i} = \frac{1}{NH^{i}W^{i}} \sum_{n,y,x} h_{n,c,y,x}^{i}$$
$$\sigma_{c}^{i} = \sqrt{\frac{1}{NH^{i}W^{i}} \sum_{n,y,x} \left((h_{n,c,y,x}^{i})^{2} - (\mu_{c}^{i})^{2} \right)}.$$



$$\gamma_{c,y,x}^{i}(\mathbf{m})\frac{h_{n,c,y,x}^{i}-\mu_{c}^{i}}{\sigma_{c}^{i}}+\beta_{c,y,x}^{i}(\mathbf{m})$$

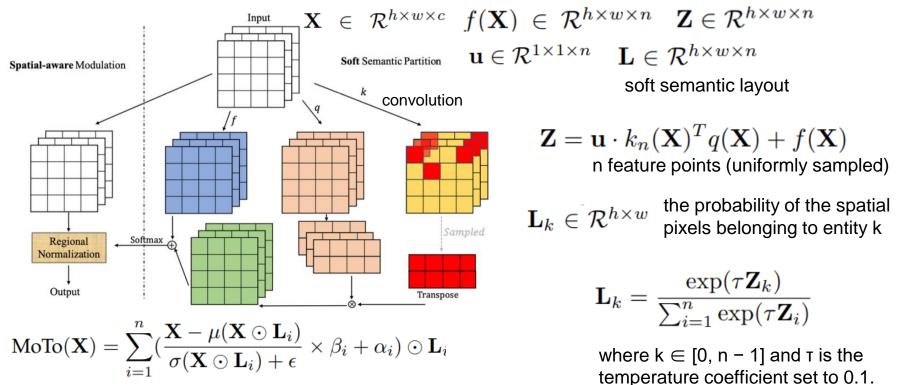


The spatially-adaptive normalization, which utilizes the input semantic layout while performing the affine transformation in the normalization layers.

PARK, Taesung, et al. Semantic image synthesis with spatially-adaptive normalization. CVPR 2019.

3.Method - Modulation Across Tokens (MoTo)

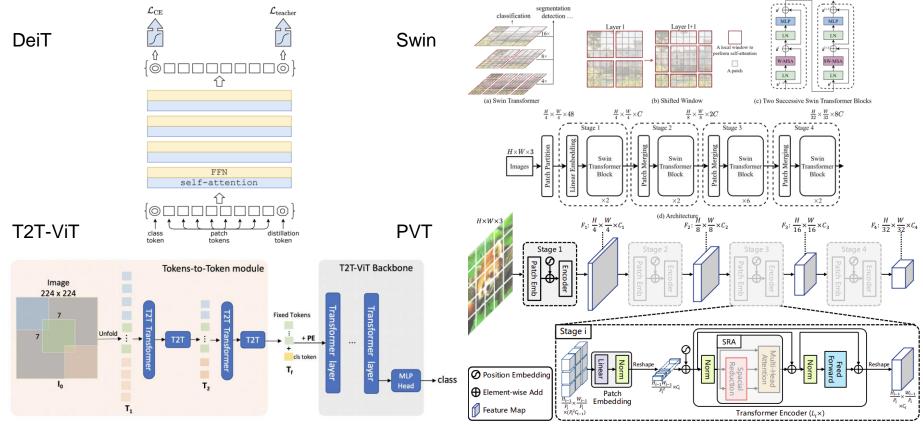
To modulate the diverse semantics in input using regional statistics. This strategy not only formulates **feature distributions**, but also provides the tokenizer with more **plausible semantic content**.



default n = 8

MoTo incorporates global context to vision tokenizer using normalization.

3.Method - On Various Transformer Architectures.



Ze, et al. Swin transformer: Hierarchical vision transformer using shifted windows. ICCV 2021. Wenhai, et al. Pyramid vision transformer: A versatile backbone for dense prediction without convolutions. ICCV 2021. Hugo, et al. Training data-efficient image transformers & distillation through attention. ICML 2021. Li, et al. Tokens-to-token vit: Training vision transformers from scratch on imagenet. ICCV 2021.

3.Method - Performance of image recognition on ImageNet

To further validate that MoTo is versatile with different tokenizers and transformer architectures, we integrate the proposed module to several state-of-the-art methods

Transformer Architecture	Model	Params	GFLOPs	Accuracy	Δ
	DeiT-S	22.1M	4.6	79.8	-
Vit [1] [20]	w МоТо	22.5M	4.8	81.6	+1.8
ViT [1], [20]	DeiT-B	86.6M	17.6	81.8	-
	w MoTo	86.9M	17.9	82.9	+1.1
T2T-ViT [17]	T2T-ViT-14	21.5M	5.2	81.5	-
	w МоТо	21.8M	5.4	82.3	+0.8
	PVT-Small	24.5M	3.8	79.8	-
	w МоТо	24.7M	4.0	81.0	+1.2
PVT [15], [39]	PVT-Medium	44.2M	6.7	81.2	-
F V I [15], [59]	w МоТо	44.5M	6.9	82.1	+0.9
	PVTv2-B2	25.4M	4.0	82.0	-
	w MoTo	25.6M	4.2	82.7	+0.7
Stutin [28]	Swin-T	28.3M	4.5	81.2	-
	w МоТо	28.6M	4.7	82.2	+1.0
Swin [28]	Swin-S	49.6M	8.7	83.0	-
	w МоТо	49.9M	8.9	83.7	+0.7

the consistent improvements are made across different transformer architectures.

3.Method - Complexity Analysis

TABLE 3

Inference wall time (ms) with different input scales. Features are fed into the same GPU with a batch size of 1 and channel number of 16. OOM denotes out-of-memory. Note that the definition of self-attention here pixel refers to the pixel-wise self-attention in tokenizer.

Component	224×224	384×384	512×512	1024×1024
Self-attention	4.12	23.58	OOM	OOM
МоТо	0.52	1.67	4.23	18.42

MoTo incorporates global context modeling to vision tokenizer using normalization. MoTo provides a computational-friendly choice to perform intertoken modulation. O(NCHW)

The actual computation of injecting **pixel-wise regional information with self-attention** scales quadratically, which is unfeasible for processing high-resolution images. $\mathcal{O}(C(HW)^2)$

3.Method - Visualization of semantic layout



$$\mathbf{L}_{i,j} \in \mathcal{R}^{1 imes 1 imes k}$$

we colorize each location (i, j) using the index with the highest probability.

Visualizations of the self-learned semantic layout. Both image (a) and (b) are testing images. Each color shown in the layout denotes a semantic entity in the soft layout L.

the semantic entities highlight the difference between foreground, background, and instances. Notably, the entities represent some details on cat face.

Normalizing these feature points with semantic grouping improves the feature quality of tokens.

$$MoTo(\mathbf{X}) = \sum_{i=1}^{n} \left(\frac{\mathbf{X} - \mu(\mathbf{X} \odot \mathbf{L}_{i})}{\sigma(\mathbf{X} \odot \mathbf{L}_{i}) + \epsilon} \times \beta_{i} + \alpha_{i}\right) \odot \mathbf{L}_{i}$$

3.Method - Ablations about MoTo

Semantic Entities	Partition Strategy	Top-1 . Val	Accuracy Δ
-	-	79.8	-
<i>n</i> = 2	Soft	80.8	+1.0
n = 4	Soft	81.1	+1.3
n = 8	Soft	81.6	+1.8
n = 16	Soft	81.7	+1.9
<i>n</i> = 16	Hard	80.5	+0.5
n = 32	Hard	80.8	+0.8

choose n = 8 for most experiments. considering the tradeoff between effectiveness and complexity.

$$\mathbf{L} \in \mathcal{R}^{h imes w imes n}$$

soft semantic layout

Then **Z** is normalized using softmax to generate the soft layout at k-th semantic entity

$$\mathbf{L}_{k} = \frac{\exp(\tau \mathbf{Z}_{k})}{\sum_{i=1}^{n} \exp(\tau \mathbf{Z}_{i})},\tag{4}$$

where $k \in [0, n - 1]$ and τ is the temperature coefficient set to 0.1. Each $\mathbf{L}_k \in \mathcal{R}^{h \times w}$ indicates the probability of the spatial pixels belonging to entity k. we implement **argmax** on the activation maps spatially and further obtain a class map to perform **hard partition**.

3.Method - Experimental Analysis with MoTo

TABLE 5

Ablation study on absorbing MoTo into transformer blocks. The baseline architecture uses DeiT-S. We adopt a MoTo layer with 8 semantic entities and ensemble it into transformer blocks. The placement denotes the layer number of transformer block that adopts MoTo.

We further conduct a pilot study to ensemble MoTo at **the end of each transformer layer**.

	Placement			Top-1	Accuracy
Tokenizer	1-4	4-8	8-12	Val	Δ
-	-	-	-	79.8	-
\checkmark				81.6	+1.8
\checkmark	\checkmark			81.8	+2.0
\checkmark	\checkmark	\checkmark		81.9	+2.1
\checkmark	\checkmark	\checkmark	\checkmark	81.4	+1.6

TABLE 6

Comparison of different normalizations in tokenizer. The baseline architecture uses DeiT-S. Each row denotes result trained with different normalization strategy. Top-1 Accuracy denotes the validation accuracy on ImageNet.

Comparison with different normalizations.

As shown in Table 6, **both BN and LN slightly harm the model's performance**. As analyzed, such normalization methods bring "filtered" effect on tokens' features.

Model	Normalization in Tokenizer	Top-1 Accuracy
DeiT-S	-	79.8
DeiT-S	Layer Norm	79.6
DeiT-S	Batch Norm	79.5
DeiT-S	Instance Norm	80.1
DeiT-S	МоТо	81.6

3.Method - TokenProp Objective

The core idea of TokenProp is to provide optimization objectives for vision tokenizer, which lead to **better token representations** by maintaining the trade-off between feature fineness and information accessibility.

$$I(\mathbf{A}; \mathbf{B}) = H(\mathbf{A}) - H(\mathbf{A}|\mathbf{B}) \ge H(\mathbf{A}) - \mathbb{R}(\mathbf{A}|\mathbf{B})$$

 $\begin{array}{l} \arg\max I(\mathbf{A};\mathbf{B}) = \arg\max - \mathbb{R}(\mathbf{A}|\mathbf{B}) \\ \text{dependent} &= \arg\max \mathbb{E}_{q(\mathbf{A},\mathbf{B})}[\log q(\mathbf{A}|\mathbf{B})] \end{array}$

we estimate the parameters of q(A|B) using a decoder network -G_ θ . Ideally, zero information loss through the tokenization proces retains all information including the useful one.

$$\underset{\phi,\omega,\theta}{\text{minimize}} \mathcal{L}(F_{\omega}(F_{\phi}(\mathbf{x})); \mathbf{y}) + \lambda \mathcal{L}_{rec}(G_{\theta}(F_{\phi}(\mathbf{x})); \mathbf{x})$$

x : input, y : label, ϕ , ω , θ : parameters of respective modules

Model	Loss Type	Top-1 Accuracy		
Widdei	Loss type	Val	Δ	
Baseline	-	79.8	-	
-	L_1	80.2	+0.4	
-	L_2	80.7	+0.9	
-	Perceptual Loss [83]	80.4	+0.6	
-	Contextual Loss [84]	80.8	+1.0	

Model	Loss Weight λ	Top-1 Accuracy		
wiodei		Val	Δ	
Baseline	-	79.8	-	
-	0.001	80.7	+0.9	
cess _	0.01	80.4	+0.6	
-	0.1	80.6	+0.8	
-	1.0	NaN	-	

Decoder Channel	Output Scale	Accuracy	Training Overhead
$\times 1$	64×64	80.7	4.1%
×1	128×128	80.4	7.8%
$\times 1$	256×256	77.3 (↓)	15.1%
$\times 2$	64 imes 64	80.4	6.9%
$\times 4$	64 imes 64	80.5	9.1%

4. Experiments - ImageNet validation with TokenProp

TokenProp serves as a regularization term that provides the encoded features from tokenizers with more diversity.

Transformer Architecture	Model	Accuracy	Δ	Training Overhead
ViT [1], [20]	DeiT-S	80.7	+0.9	4.1%
VII [I], [20]	DeiT-B	82.5	+0.7	3.5%
T2T-ViT [17]	T2T-ViT-14	82.2	+0.7	4.9%
	T2T-ViT-19	82.8	+0.9	4.4%
PVT [15], [39]	PVT-Small	80.9	+1.1	5.1%
1 v 1 [15], [59]	PVT-Medium	81.8	+0.6	4.6%
Swin [28]	Swin-T	82.3	+1.1	6.7%

Model Variants	Optimizer	<u> </u>	Accuracy
	-1	Val	Δ
DeiT-S	AdamW	79.8	-
DeiT-S	SGD	76.7	↓3.1
DeiT-S w Frozen [18]	AdamW	79.4	-
DeiT-S w Frozen [18]	SGD	76.0	↓3.4
DeiT _C -S* [11]	SGD	78.2	↓1.6
DeiT-S w TokenProp	SGD	78.9	↓0.9
DeiT _C -S* w TokenProp	SGD	79.6	↓0.2

TokenProp enables the adaptability of the model towards SGD, which significantly reduces the performance drop.

4. Experiments - MoTo and TokenProp / Data inefficiency

We can see that the models could be further boosted, suggesting the compatibility of structural and objective designs.

Model Architecture	w MoTO w TokenPro		Accuracy	
DeiT-S	-	-	79.8	
Ours	\checkmark	\checkmark	82.6	
T2T-ViT-14	-	-	81.5	
Ours	\checkmark	\checkmark	82.8	
Swin-T	-	-	81.2	
Ours	\checkmark	\checkmark	82.9	

We follow the original training hyper-parameters in, while only leverage a limited portion of ImageNet-1k training set and 100 epochs for training.

Training Dataset	Percentage (%)	Val Top-1 Accuracy			
		Baseline	w Ours	Δ	
ImageNet-1k	50	73.7	75.1	+1.4%	
	40	71.6	73.2	+1.6%	
	20	61.2	63.9	+2.7%	
	10	43.5	46.5	+2.9%	

4.Experiments - Downstream Tasks

Method	Backbone	MoTo N	Iodule TokenProp	Pre-trained	Crop Size	LR Schedule	mIoU
OCRNet [87]	HRNet-w48			ImageNet-1k	512 × 512	150K	45.7
UperNet	DeiT-S			ImageNet-1k	512×512	160K	44.0
	DeiT-S w Frozen [18]			ImageNet-1k	512×512	160K	42.9
	DeiT-S	\checkmark		ImageNet-1k	512×512	160K	44.5
	DeiT-S		\checkmark	ImageNet-1k	512×512	160K	44.3
	DeiT-S	\checkmark	\checkmark	ImageNet-1k	512×512	160K	44.7
UperNet	Swin-T			ImageNet-1k	512×512	160K	45.8
	Swin-T	\checkmark		ImageNet-1k	512×512	160K	46.3
	Swin-T		\checkmark	ImageNet-1k	512×512	160K	46.4
	Swin-T	\checkmark	\checkmark	ImageNet-1k	512×512	160K	46.7
UperNet	Swin-S			ImageNet-1k	512×512	160K	49.1
	Swin-S	\checkmark		ImageNet-1k	512×512	160K	49.4
	Swin-S		\checkmark	ImageNet-1k	512×512	160K	49.4
	Swin-S	\checkmark	\checkmark	ImageNet-1k	512×512	160K	49.6

Downstream performance of semantic segmentation on ADE20K dataset.

4.Experiments - Downstream Tasks

Framework	Backbone	Pre-trained	LR Schedule	Box mAP	Mask mAP
RetineNet [88]	PVTv2-b1	ImageNet-1k	1x	41.2	-
	PVTv2-b1 w MoTo	ImageNet-1k	1x	41.8	-
	PVTv2-b1 w TokenProp	ImageNet-1k	1x	41.5	-
	PVTv2-b1 w Both	ImageNet-1k	1x	42.0	-
Mask R-CNN [89]	PVTv2-b1	ImageNet-1k	1x	41.8	38.8
	PVTv2-b1 w MoTo	ImageNet-1k	1x	42.4	39.1
	PVTv2-b1 w TokenProp	ImageNet-1k	1x	42.3	39.4
	PVTv2-b1 w Both	ImageNet-1k	1x	42.9	39.4
Mask R-CNN [89]	Swin-T	ImageNet-1k	1x	43.7	39.8
	Swin-T w MoTo	ImageNet-1k	1x	44.1	40.1
	Swin-T w TokenProp	ImageNet-1k	1x	44.2	40.0
	Swin-T w Both	ImageNet-1k	1x	44.6	40.4
Cascade Mask R-CNN [90]	Swin-T	ImageNet-1k	1x	48.1	41.7
	Swin-T w MoTo	ImageNet-1k	1x	48.7	42.1
	Swin-T w TokenProp	ImageNet-1k	1x	48.6	41.9
	Swin-T w Both	ImageNet-1k	1x	49.1	42.4

Downstream performance of object detection and instance segmentation on COCO 2017.

5. Conclusion and Future Work

[Conclusion]

We demonstrate the important role tokenizer plays in vision transformers.

Based on the "trade-off" perspective that formulates prominent structural designs, we propose to incorporate **better normalization and objective for tokenizers.**

Extensive experimental results manifest their effectiveness across different transformer structures.

Our findings further indicate that **proper generative supervisory signals help improve** discriminative performance.

[Future Work] On self-supervised learning with MAE and BEiT validates the potential of reconstruction objectives.

Generalizing such generative signals to different tasks remains an open problem.

Thanks Any Questions?

You can send mail to Susang Kim(<u>healess1@gmail.com</u>)